

PATENT APPLICATION #3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q85281

Yuji EGUCHI, et al.

Appln. No.: National Stage Entry of PCT/JP03/07548

Confirmation No.: not yet assigned

Group Art Unit: not yet assigned

Filed: December 14, 2004

Examiner: not yet assigned

For: OXIDE FILM FORMING METHOD AND OXIDE FILM FORMING APPARATUS

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

A copy of a corresponding Communication from a Foreign Patent Office, is submitted herewith. The USPTO should receive copies of the references cited in the International Search Report from the International Bureau. The Applicant will furnish any missing references upon request.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

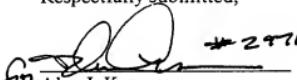
INFORMATION DISCLOSURE STATEMENT  
National Stage Entry of PCT/JP03/07548

filings for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
for Alan J. Kasper  
Registration No. 25,426

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE  
23373  
CUSTOMER NUMBER

Date: December 14, 2004

**Substitute for Form 1449 A & B/PTO**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet

1

of

1

Application Number	National Stage Entry of PCT/JP03/07548
Confirmation Number	Not yet assigned
Filing Date	December 14, 2004
First Named Inventor	Yuji EGUCHI
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	Q85281

U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

---

**NON-PATENT LITERATURE DOCUMENTS**

---

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		International Search Report for PCT/JP03/07548 dated September 24, 2003	

Examiner Signature	/Binh Tran/	Date Considered	12/16/2008
--------------------	-------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH /BT/